



FPS Trust MiABLE Plan
 P.O. Box 5187
 Englewood, CO 80155
 1.844.656.7225 (toll-free)
 855.710.6591 (fax)
 Email: miable@tsacg.com
 Website: www.miable.org



529 College Savings into MiABLE Direct Rollover Form

- Use this form to initiate a direct rollover from a 529 College Savings Account to an existing MiABLE Savings Plan account. (If you have not established an account, you must also complete and enclose an **Enrollment Form** or enroll online at **www.miable.org**.)
- In a direct rollover the movement of funds is coordinated by the 529 College Savings Plan and MiABLE Plan Managers. Once this form is submitted to the MiABLE Plan, they will reach out to your 529 College Savings Plan to coordinate the rollover.
- The assets will be allocated based on the investment selection for the MiABLE account.
- There's a \$15,000 annual rollover limit (less the amounts previously contributed by any person to the MiABLE account that count against the standard \$15,000 annual limit).
- All rollover assets will be counted against the standard \$15,000 annual contribution limit to the MiABLE account.
- The Beneficiary of the MiABLE account must be the same as the beneficiary of the 529 College Savings account or be an eligible "Member of the Family" of such beneficiary as defined by Section 529 of the Internal Revenue Code.
- The Beneficiary of the MiABLE account will also be the owner of the MiABLE account, even if such Beneficiary was not the owner of the 529 College Savings account.
- Type or print clearly, printing in capital letters and black ink. Please return the form as indicated on Page 4.

Forms can be downloaded from our website at **www.miable.org**, or you can call us to order any form — or request assistance in completing this form — at 1.844.656.7225 Monday - Thursday from 8 a.m. to 8 p.m. and Friday from 8 a.m. to 6 p.m. Eastern Time.

1. Rollover Type

- Roll assets directly from my 529 College Savings Account. (Complete Sections 2, 3 and 5)
- Roll assets directly into my MiABLE account from an account in a 529 College Savings Account that is currently owned by my sibling that qualifies as a "Member of the Family". That individual will be required to sign this Form in Section 6 as authorization for the current ABLE plan to rollover the assets to me. (Complete Sections 2, 3 and 5)

2. Plan Account Information

 Account Number (If you have not established an account, also complete and enclose an **Enrollment Form**.)

 Social Security Number (**Required**)

 Name of Account Owner (first, middle initial, last)

 Telephone Number (In case we have a question about your account.)

3. Instructions for Direct Rollovers

- Please provide information about the current 529 College Savings Account from which the assets are being moved.
- We will contact the current 529 College Savings Account plan or custodian to send the funds to us. Please ask the current 529 College Savings Account plan or custodian if this request must be signature guaranteed and if so, complete Section 6.
- The rollover proceeds will be invested according to the standing allocation instructions on file at the time the assets are received. If you have not established an account, the assets will be invested according to what you choose on the **Enrollment Form**. Please contact the program manager or custodian of the account from which assets are being withdrawn for a proper mailing address.

Account Number of the current 529 College Savings Account plan

Full Name of the current 529 College Savings Account plan or custodian (*Usually a financial institution*)

Full Name of the current 529 College Savings Account plan (*If applicable*)

Address

City

State

Zip Code

Contact Person

Telephone Number

Current Account Number

\$ _____
Amount to Rollover

5. Signature — YOU MUST SIGN BELOW

- I certify that I have read and understand, consent, and agree to all the terms and conditions of the MiABLE Savings Plan Program Disclosure Statement as they relate to this incoming rollover request.
- By signing below, I authorize the Program Manager or its designee to roll over assets into the MiABLE Savings Plan according to the instructions above.
- If I am a person authorized to act on the account, I certify that I am authorized to act on behalf of the Account Owner in making this request and that this request is in the best interest of the Account Owner.
- If rolling over assets from a 529 College Savings Account plan owned by someone other than me, to my MiABLE Plan account, I certify that the account in the other 529 College Savings Account plan is owned by my sibling that qualifies as a "Member of the Family".
- I further certify that if I have already withdrawn the rollover amount for deposit into an MiABLE Savings Plan account, this request is within 60 days of the withdrawal.
- If I am requesting a direct rollover, by signing below I or my sibling, authorize the current 529 College Savings Account plan manager or custodian to liquidate the investment identified in Section 3 and roll over the assets into the MiABLE Savings Plan account indicated in Section 2 of this Form. I understand a signature guarantee may be required in Section 6.
- I understand that I cannot make additional contributions when the fair market value of my account in the MiABLE Savings Plan exceeds \$500,000.
- I understand that the program manager or custodian of the account from which assets are being withdrawn is responsible for providing the MiABLE Savings Plan with a statement certifying the breakdown of contributions and earnings of the amount transferred. I further understand that until such statement is provided, the MiABLE Savings Plan will treat the entire deposit as earnings.
- I understand that a rollover not meeting these conditions may result in the earnings portion of the deposit being considered a non-qualified withdrawal subject to federal income tax and an additional 10% federal tax, and may be subject to state or local income tax.

If the current qualified ABLÉ plan manager or custodian of the account from which assets are being withdrawn requires a Medallion Signature Guarantee, do not sign here. Please go to Section 6.

Signature of ABLÉ Account Owner

Date (mm/dd/yyyy)

If you are requesting to roll assets into your MiABLE account from a 529 College Savings Account plan not owned by you, that account owner from which assets are being withdrawn must sign below. If the current 529 College Savings Account plan manager or custodian of the account from which assets are being withdrawn requires a Medallion Signature Guarantee, do not sign here. Please go to Section 6.

Signature of Account Owner that owns the funds in the current 529 College Savings Account plan

Date (mm/dd/yyyy)

6. Medallion Signature Guarantee — IF APPLICABLE

(Only applicable if the current 529 College Savings Account plan manager or custodian of the account from which the assets are being redeemed requires a Medallion Signature Guarantee - please check with your current 529 College Savings Account plan manager or custodian. The lack of a required Signature Guarantee could delay this rollover.)

- You must provide the following information as underwritten certification that your signature is genuine.
- You can obtain a Medallion Signature Guarantee from an authorized officer of a bank, broker, or other qualified financial institution. A notary public cannot provide a Medallion Signature Guarantee, nor can you guarantee your own signature.
- **Do not sign below until you are in the presence of the authorized officer providing the Medallion Signature Guarantee.**

I certify that the information provided herein is true and complete in all respects, and that I have read and understand, consent, and agree to all the terms and conditions of the Program Disclosure Statement.

Signature of Account Owner that owns the funds in the current 529 College Savings Account plan

Signature Guarantor

Title

Name of Institution

Date (mm/dd/yyyy)

Authorized Officer to place stamp here

Mail completed and signed forms to:
FPS Trust MiABLE Plan
P.O. Box 5187
Englewood, CO 80155
855.710.6591 (fax)

Customer Service Representatives available at 1.844.656.7225 (toll-free)